

## Appendix 1: Code of Conduct for Members - complaints and investigation monitoring information

Reference number	Date received by Monitoring Officer	Complainant	Councillor(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
001/2017	April 2017	Clear Up Project Board	Elected councillor	Alleged failure to declare interests and associated matters	N/A			Referred to internal auditors	
002/2017	April 2017	Clear Up Project Board	Elected councillor	Alleged purchase of meals using Council budget without prior approval. Possible contravention of previous Code: 3.4 Disrepute 3.5 (b) Improper use of Council resources	20.07.17 agreed with IP letter to councillor and no further investigation but MO also to report to IDSC for view	N/A	N/A	IDSC 21.09.17 agreed with proposal for letter and no further investigation.	Letter sent 27.09.17
003/2017	April 2017	Clear Up Project Board	Elected councillor	Alleged undue influence of audit and a failure to register interests. Possible contravention of previous Code: 3.4 Disrepute 8.1 Failure to register interests	20.07.17 agreed with IP letter to councillor and no further investigation but MO also to report to IDSC for view	N/A	N/A	IDSC 21.09.17 agreed with proposal for letter and no further investigation.	Letter sent 27.09.17

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004/2017	3 July 2017	Member of public	Elected councillor	<p>Alleged inappropriate conduct at meeting between local resident and councillor after a fire in residential block. Possible contravention of the Code:</p> <p>2.2 Seeking to disadvantage complainant</p> <p>2.9 Not promoting equality and not treating complainant with respect</p>	08.09.17 agreed with IP further preliminary enquiries required of potential witnesses			Awaiting outcome of preliminary enquiries	

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005/2017	13 July 2017	Registered Provider (Housing)	Elected councillors x 2	Alleged inappropriate conduct of councillors after fire in residential block. Possible contravention of the Code: 2.9 Failure to treat local residents with respect 2.10 Failure to promote high standards by leadership and example	IP potential conflict and withdrew from considering the complaint. Referred for investigation by MO following discussion with Deputy MO.	02.10.17		Investigation underway	

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006/2017	25 August 2017	Member of public	Elected councillor	Alleged inappropriate content and circulation of video. Potential contravention of the Code: 2.9 Failure to treat with respect 2.10 Failure to promote high standards by leadership and example	08.09.17 agreed with IP explore potential for local resolution of complaint			Local resolution being pursued	
007/2017	5 April 2017	Clear Up Project Board	Elected councillor	Alleged failure to register interests in contravention of the current Code and the previous Code of Conduct				MO to meet with councillor on 03.10.17 for initial comments on complaint	

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008/2017	8 September 2017	Local business	Elected councillor	<p>Alleged inappropriate conduct in communications with local business. Potential contravention of the Code:</p> <p>2.2 Seeking to disadvantage complainant and confer advantage on another business</p> <p>2.7 Improper use of Council resources</p>				MO to meet with councillor for initial comments on complaint	